PTO/SB/42 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number, Docket Number (Optional) Patent Number ACM-P0246-USA 7,167,422 37 CFR 1.501 **Applicant** INFORMATION DISCLOSURE CITATION BenQ Corporation **IN A PATENT** Issue Date Art Unit 2627 01-23-2007 (Sheet___1__of__1_ **U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER** DATE **CLASS** NAME SUBCLASS FILING DATE INITIAL IF APPROPRIATE 1. US 6,487,146 Johannes J. Verboom G11B 7/00 03-13-2000 11-26-2002 **FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER** DATE CLASS COUNTRY **SUBCLASS** TRANSLATION YES NO 07-07-2004 1. KR10-2004-0061203 Korea G11B 7/004 V 2. CN1396585 Chinese V 02-12-2003 G11B 7/00 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED

This collection of information is required by 37 CFR 1.501. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT

Filing Date: 09/24/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chi-Hung Chen, et al.

Patentee: BenQ Corporation 5

> Patent No.: 7,167,422 Issue Date: 1/23/2007 Appl. No.: 10/711,545

> Docket No.: ACMP0246USA Confirmation No.: 5544

10 Title: OPTICAL RECORDING APPARATUS CAPABLE OF OPTIMIZING

WRITE DEFOCUS AND METHOD THEREOF

Commissioner for Patents To:

P.O. BOX 1450

15 Alexandria, VA 22313-1450

Subject: Submission of Prior Art under 37 CFR §1.501

Dear Sir,

25

The undersigned agent hereby submits the prior arts as cited in the Office Action issued on 12/8/2006 against the counterpart Chinese patent application no. CN2005101068591. A form PTO/SB/42 detailing prior arts cited, copies of the patents or printed publications, and any necessary English translation are attached pursuant to 37 CFR 1.501 and MPEP 2205.

30 It's believed that the prior arts cited in the Office Action are pertinent and applicable to the patentability of the claims of the above-identified patent. It is the patentee's desire to have the citation of prior art placed in the patent file.

5

Respectfully submitted,

Wunton Han

Date: 1/30/2007

10 Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail: winstonhsu@naipo.com

15

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 13 hours behind the Taiwan time, i.e. 9 AM in D.C. = 10 PM in Taiwan.)